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## Curriculum Vitae Professor Dr. Dr. Klaus J. Hopt



**Name:** Klaus J. Hopt  
**Born:** 24 August 1940

### Academic and Professional Career

- since 1995 Director at the Max Planck Institute for Comparative and International Private Law in Hamburg and professor at the University of Hamburg, Germany, emeritus since 2008
- 1987 - 1995 Professor at the Ludwig Maximilians University of Munich, Germany
- 1985 - 1987 Full Professor at the University of Berne, Switzerland
- 1980 - 1985 Full Professor at the University of Tübingen (Dean, 1982 - 1983), Germany
- 1981 - 1985 Lifetime judge appointment at the Court of Appeals of Stuttgart, Germany
- since 1979 Visiting professorships (1-3 months, ranked by time): University of Pennsylvania; European University Institute, Florence, Italy; University of Paris I (Panthéon Sorbonne); Kyoto University; Université Libre de Bruxelles; University of Geneva; Tokyo University; University of Chicago; New York University; Harvard University; University of Tilburg; University of Paris II (Panthéon-Assas); LUISS (Rome); Universidade Católica Portuguesa, Lisbon; Columbia University, New York, University of Vienna, Vienna University of Economics and Business
- 1978 - 1980 Full Professor at the European University Institute in Florence, Italy (department head, 1979 - 1980)
- 1973 Habilitation at the University of Munich, Germany
- 1968 Ph.D., University of Tübingen, Germany
- 1967 Ph.D., University of Munich, Germany
- 1965 Academic degree of Master of Comparative Jurisprudence (M.C.J.), New York University, USA

- 1964 - 1965 Doctoral studies in Spain (Bilbao) and France (Paris) and studies for the LL.M. at New York University with a scholarship from the Volkswagenwerk Foundation, USA
- 1959 - 1963 Studies of law and economics at the University of Munich and Tübingen, Germany

### **Project Coordination, Membership in Collaborative Research Projects**

- 2005 - 2011 Board member, European Corporate Governance Institute (ECGI), Brussels, Belgium
- 2002 - 2007 Member of the Takeover Council at the Federal Financial Supervisory Authority (Frankfurt), Germany
- 2002 - 2011 Member of the Stock Exchange Experts Commission at the Federal Ministry of Finance, Berlin, Germany
- 2001 - 2002 Member of the seven-member High Level Group of Company Law Experts for counselling the European Commission in Brussels, Belgium
- 1995 - 2001 Member of the Takeover Commission, Frankfurt (appointed by the Ministry of Finance)
- since 1991 Preparation of law-making and expert: German Parliament, various Federal Ministries, Federal Constitutional Court, German Federal Bank, European Commission, Bank for International Settlements, Bulgaria and World Bank
- 1991 - 1993 Member of the Structure and Appointments Commission Law of the Humboldt University of Berlin, Germany
- 1989 - 1990 Member of the Commission of Experts of the Prime Minister of Baden-Württemberg for the creation of the Centre for European Economic Research (CEER), Mannheim, Germany
- since 1978 Coordination of many national and international research projects (see List of Publications)

### **Functions in Scientific Societies and Committees**

- 2011 - 2014 Member of the International Advisory Board of the Alexander von Humboldt Foundation
- 2006 - 2010 Member of the Scientific Evaluation Council of the Alexander von Humboldt Foundation
- 2003 - 2011 Senator of the Max Planck Society (senator by office since 2003, senator by election since 2006)
- 2003 - 2006 Chairman of the Scientific Council of the Max Planck Society
- 2002 - 2008 Vice President of the German Science Foundation

- 2000 - 2012 Member of the Permanent Delegation (Deputation) of the German Lawyers Association (Deutscher Juristentag), Bonn, Germany
- 1995 - 2010 Member of the Scientific Council of the Fritz Thyssen Foundation
- 1973 - 1979 Member of the board of the Association of German Law Teachers

### **Honours and Awarded Memberships**

- 2018 Otto Schmidt-Preis
- 2013 Académie internationale de droit comparé / International Academy of Comparative Law, La Haye / Hague, Netherlands (membre titulaire / full member)
- 2013 Dr. Günther Buch Prize for the year 2013 for outstanding merits in the area of humanities, Johanna und Fritz Buch Memorial Foundation
- 2010 Liber amicorum: Festschrift zum 70. Geburtstag am 24. August 2010 - Unternehmen, Markt und Verantwortung, Stefan Grundmann et al., eds., Berlin (De Gruyter) 2010, 2 vols.
- 2010 Honorary Doctor, Ivane Javakhishvili State University of Tiflis, Georgia
- 2010 Bucerius Law School Hamburg, Honorary Medal for Special Achievements in Law and the Development of Law, Germany
- 2009 Award of the Order of Merit of the Federal Republic of Germany 1st class
- 2008 Elected into the German National Academy of Sciences Leopoldina
- Award of the 2008 Mentorship Prize from the Claussen Simon Foundation, Hamburg
- Liber amicorum: Perspektiven des Wirtschaftsrechts, Deutsches, europäisches und internationales Handels-, Gesellschafts- und Kapitalmarktrecht, Beiträge für Klaus J. Hopt aus Anlass seiner Emeritierung, Harald Baum et al., eds., Berlin (De Gruyter) 2008
- 2007 Honorary Doctor, National and Kapodistria University of Athens, Greece
- 2006 European Company and Financial Law Review, June 2006, Vol. 3 No 2: In Honour of Klaus J. Hopt
- 2005 Senior member of the Academy of Sciences in Hamburg, previously a member of the Jungius Society, Hamburg, Germany
- Honour issue of WM Zeitschrift für Wirtschafts- und Bankrecht
- Symposium "Comparative Company Law" in honour of Professor Klaus J. Hopt, Humboldt University of Berlin, Germany
- 2002 Inaugural fellow, European Corporate Governance Institute (ECGI)

- 2001 International and Comparative Corporate Law Journal 2 (2000) Issue 4 (September 2001): In Honour of Professor Klaus Hopt
- 2000 Honorary Doctor, Paris Descartes Université, France
- Carl Friedrich Gauß Medal 2000 (Brunswick Academy of Sciences) and corresponding member of the society
- Symposium “Corporate Governance – European Perspectives“ in honour of the 60<sup>th</sup> birthday of Klaus J. Hopt, University of Mayence (reprinted in Zeitschrift für Unternehmens- und Gesellschaftsrecht ZGR 2001, 215-324)
- The Rabel Journal of Comparative and International Private Law 64 (2000) issue 3 (August) 455-659: Klaus J. Hopt zum 60. Geburtstag
- 1998 Académie internationale de droit comparé / International Academy of Comparative Law, La Haye / The Hague (membre associé/ associate member), Netherlands
- 1997 Honorary Doctor, Université Libre de Bruxelles (ULB), Belgium
- Honorary Doctor, Université Catholique de Louvain (UCL), Belgium
- 1983 Vetenskaps societeten i Lund, Schweden (New Society of Letters at Lund) (corresponding member)
- 1975 Member of the International Faculty for Corporate and Capital Market Law
- 1968 Prize from the Munich University Law Faculty for doctoral thesis, Germany

### Major Scientific Interests

After the completion of my professor thesis and as a member of the International Faculty for Corporate and Capital Market Law, I conducted research in German and international capital markets and banking law as well as in commercial, company and business law, much of this as preparatory work for German and European legislators. Today my main interests are comparative and interdisciplinary in the fields of corporate governance, insider and takeover regulation as well as European harmonization of law. I particularly enjoy tutoring and having professional and personal contact with my academic pupils in Germany and abroad and the many doctoral students I have supervised.

13 academic pupils in Germany:

Peter O. Mülbart, Full Professor, Univ. of Mainz; Stefan Grundmann, Full Professor, Humboldt Univ. of Berlin and European Univ. Institute Florence; Hanno Merkt, Full Professor, Univ. of Freiburg; Marina Wellenhofer-Klein, Full Professor, Univ. of Frankfurt; Brigitte Haar, Full Professor, Univ. of Frankfurt; Harald Baum, Professor, Max Planck Institute for comparative and international Private Law, Hamburg; Thomas von Hippel, Privatdozent, Judge in Hamburg; Jan von Hein, Full Professor, Univ. of Freiburg; Markus Roth, Full Professor, Univ. of Marburg; Heike Schweitzer, Full Professor, Humboldt-Universität zu Berlin; Christoph Kumpan, Professor, Humboldt Univ. of Berlin; Patrick

Leyens, Privatdozent, Univ. of Hamburg; Andreas M. Fleckner, Senior Research Fellow, Max Planck Institute for comparative and international Private Law, Hamburg; Christoph Kumpan, Prof., Martin-Luther-Univ. Halle-Wittenberg

5 academic pupils abroad:

Dimitris Tzouganatos, Professor, Univ. Athen; Katharina Pistor, Professor, Columbia Univ. New York; Georgios Triantafyllakis, Professor, Demokrit-Univ. of Thrace; Katrin Deckert, maître de conférences, Univ. Paris Ouest Nanterre La Défense; Felix Steffek, Univ. Lecturer, Univ. of Cambridge; Li-Juan Chen-Rabich, Prof., Tamkang Univ. New Taipei City

116 former doctoral students.

### **List of Publications and More Information**

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[http://www.mpipriv.de/en/pub/academic\\_staff/emeritus\\_directors/hopt\\_klaus\\_j.cfm](http://www.mpipriv.de/en/pub/academic_staff/emeritus_directors/hopt_klaus_j.cfm)

Stefan Grundmann, Klaus J. Hopt, in: Stefan Grundmann/Karl Riesenhuber, eds. Deutschsprachige Zivilrechtslehrer des 20. Jahrhunderts in Berichten ihrer Schüler, Eine Ideengeschichte in Einzeldarstellungen, vol. 2, Berlin (De Gruyter) 2010, p. 221-259.